

112TH CONGRESS  
1ST SESSION

# S. 426

To strengthen student achievement and graduation rates and prepare young people for college, careers, and citizenship through innovative partnerships that meet the comprehensive needs of children and youth.

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## IN THE SENATE OF THE UNITED STATES

MARCH 1, 2011

Mr. SANDERS (for himself, Mr. BROWN of Ohio, and Ms. MIKULSKI) introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

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## A BILL

To strengthen student achievement and graduation rates and prepare young people for college, careers, and citizenship through innovative partnerships that meet the comprehensive needs of children and youth.

1 *Be it enacted by the Senate and House of Representa-*  
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE; TABLE OF CONTENTS.**

4 (a) SHORT TITLE.—This Act may be cited as the  
5 “Developing Innovative Partnerships and Learning Op-  
6 portunities that Motivate Achievement Act” or the “DI-  
7 PLOMA Act”.

1 (b) TABLE OF CONTENTS.—The table of contents for  
 2 this Act is as follows:

- Sec. 1. Short title; table of contents.
- Sec. 2. Findings.
- Sec. 3. Purposes.
- Sec. 4. Definitions.
- Sec. 5. Demonstration program authorized; allotment to States.
- Sec. 6. State child and youth strategy.
- Sec. 7. Coordinating body; State applications.
- Sec. 8. State use of funds.
- Sec. 9. Local consortium application; local child and youth strategy.
- Sec. 10. Local use of funds.
- Sec. 11. Construction.
- Sec. 12. Accountability and transparency.
- Sec. 13. Authorization of appropriations.

3 **SEC. 2. FINDINGS.**

4 Congress finds the following:

5 (1) The future strength of the Nation’s democ-  
 6 racy, as well as the Nation’s economy, is dependent  
 7 upon the investments made in children and youth  
 8 today.

9 (2) Approximately 25 percent of 9th graders do  
 10 not graduate from high school 4 years later. Of stu-  
 11 dents who graduate from high school, 2 out of 3 (67  
 12 percent) enroll in a 2- or 4-year college in the fall  
 13 after completing high school. Only about half (58  
 14 percent) of first-time, full-time college freshmen  
 15 seeking a 4-year degree receive a bachelor’s degree  
 16 within 6 years or less.

17 (3) Over the past 4 decades, the United States  
 18 has slipped from being first in the world in high  
 19 school and college graduation rates to 20th and

1 14th, respectively, putting the Nation at a growing  
2 competitive disadvantage with other countries.

3 (4) Research shows that the holistic needs of  
4 students must be met in order to strengthen student  
5 achievement. One analysis of 16 factors influencing  
6 student achievement found that over half of the fac-  
7 tors identified were present in the lives of students  
8 outside of the classroom.

9 (5) An analysis of health problems, maternal  
10 child rearing practices, and the impact of such prob-  
11 lems and practices on education published by Prince-  
12 ton University and the Brookings Institution esti-  
13 mates that differences in these factors may account  
14 for a quarter of the racial gap in school readiness.

15 (6) Research from Johns Hopkins University  
16 found that the lack of summer learning opportuni-  
17 ties explains about two-thirds of the 9th grade  
18 achievement gap between high- and low-income stu-  
19 dents.

20 (7) Research from the Government Account-  
21 ability Office found that students who change  
22 schools frequently were less likely to perform at  
23 grade level and more likely to repeat a grade than  
24 their more stable peers.

1           (8) Evidence demonstrates that effective part-  
2           nerships among schools and communities increase  
3           student achievement by addressing the academic  
4           needs of students as well as the challenges the stu-  
5           dents face outside the classroom. For example—

6                   (A) Chicago Public Schools leads the Na-  
7                   tion’s largest community school initiative and  
8                   found that nearly half of the students in com-  
9                   munity schools had increased math and reading  
10                  grades, and that between 2001 and 2006, com-  
11                  munity schools had greater gains in math and  
12                  reading than regular Chicago public schools;

13                  (B) by meeting the comprehensive needs of  
14                  students, Communities In Schools, a national  
15                  dropout prevention organization, demonstrates  
16                  that 78 percent of participating students im-  
17                  proved their attendance, 89 percent had fewer  
18                  behavior incidents, 80 percent improved their  
19                  academic performance, and 78 percent of eligi-  
20                  ble seniors graduated from high school; and

21                  (C) through a pipeline of comprehensive  
22                  services addressing the needs of children, youth,  
23                  and families from prenatal opportunities  
24                  through the transition to adulthood, 90 percent

1 of high school seniors served by Harlem Chil-  
2 dren's Zone are accepted into college.

3 **SEC. 3. PURPOSES.**

4 The purposes of this Act are—

5 (1) to create engaging learning experiences  
6 that—

7 (A) strengthen academic achievement,  
8 build civic capacity, and provide a continuum of  
9 supports and opportunities for children, youth,  
10 and their families; and

11 (B) prepare young people for college, ca-  
12 reers, and citizenship through results-focused  
13 partnerships at all levels that mobilize and co-  
14 ordinate school and community resources;

15 (2) to ensure the academic, physical, social,  
16 emotional, health, mental health, and civic develop-  
17 ment of disadvantaged youth and thereby strengthen  
18 their families and communities;

19 (3) to engage and support parents, care givers,  
20 and families in their role as first educators of their  
21 children;

22 (4) to promote community engagement in edu-  
23 cation and family engagement in education;

24 (5) to leverage and integrate the human and fi-  
25 nancial assets of local communities, schools, State

1 governments, the Federal Government, and the nat-  
 2 ural assets of communities—

3 (A) toward better results for children,  
 4 youth, and families; and

5 (B) for sustained civic capacity; and

6 (6) to develop strategies that achieve key re-  
 7 sults, such as full service community schools, com-  
 8 munity-based, integrated student services, and re-  
 9 lated approaches that meet the comprehensive needs  
 10 of children and youth.

11 **SEC. 4. DEFINITIONS.**

12 In this Act:

13 (1) **COMMUNITY-BASED, INTEGRATED STUDENT**  
 14 **SERVICES.**—The term “community-based, integrated  
 15 student services” means interventions, coordinated  
 16 through a single point of contact, that improve stu-  
 17 dent achievement by connecting community re-  
 18 sources with the academic and social service needs  
 19 of students.

20 (2) **COMMUNITY ENGAGEMENT IN EDU-**  
 21 **CATION.**—

22 (A) **IN GENERAL.**—The term “community  
 23 engagement in education” means systematic ef-  
 24 forts to involve, engage, and collaborate with  
 25 parents, community residents, members of

1 school communities, community partners, and  
2 other stakeholders in exploring the needs of  
3 their students and schools, developing plans to  
4 address those needs, and working together to  
5 address those needs.

6 (B) INCLUSIONS.—The term includes ef-  
7 fective community engagement in an ongoing  
8 process to develop a welcoming school and  
9 school system, mobilize the community’s assets  
10 to support student achievement and growth, en-  
11 gage those individuals and stakeholders who  
12 traditionally have not participated, improve  
13 working relationships, and deepen the commit-  
14 ment to student success.

15 (3) FAMILY ENGAGEMENT IN EDUCATION.—  
16 The term “family engagement in education” means  
17 a shared responsibility of families and schools for  
18 student success, in which schools and community-  
19 based organizations are committed to reaching out  
20 to engage families in meaningful ways that encour-  
21 age the families to actively support their children’s  
22 learning and development, as well as the learning  
23 and development of other children. The shared re-  
24 sponsibility is continuous from birth through young

1 adulthood and reinforces learning that takes place in  
2 the home, school, and community.

3 (4) FULL SERVICE COMMUNITY SCHOOL.—The  
4 term “full service community school” means a public  
5 elementary school or secondary school that—

6 (A) participates in a community-based ef-  
7 fort to coordinate educational, developmental,  
8 family, health, and other comprehensive services  
9 through community-based organizations and  
10 specialized instructional support personnel em-  
11 ployed by the school or the local educational  
12 agency, and public and private partnerships;  
13 and

14 (B) provides access to such services to stu-  
15 dents, families, and the community.

16 (5) LOCAL CONSORTIUM.—The term “local con-  
17 sortium” means a consortium consisting of commu-  
18 nity representatives that—

19 (A) shall include—

20 (i) a local educational agency; and

21 (ii) not less than 1 other community  
22 partner that is independent of the local  
23 educational agency; and

24 (B) may include a broad array of commu-  
25 nity partners, including—

- 1 (i) a community-based organization;
- 2 (ii) a child and youth serving organi-  
3 zation or agency;
- 4 (iii) an institution of higher education;
- 5 (iv) a foundation;
- 6 (v) a business;
- 7 (vi) a teacher organization;
- 8 (vii) an organization representing edu-  
9 cation professionals;
- 10 (viii) a local government, including a  
11 government agency serving children and  
12 youth, such as a child welfare and juvenile  
13 justice agency;
- 14 (ix) an organization representing stu-  
15 dents; and
- 16 (x) an organization representing par-  
17 ents; and

18 (C) may include representatives from mul-  
19 tiple jurisdictions.

20 (6) LOCAL EDUCATIONAL AGENCY.—The term  
21 “local educational agency” has the meaning given  
22 the term in section 9101 of the Elementary and Sec-  
23 ondary Education Act of 1965 (20 U.S.C. 7801).

24 (7) OUTLYING AREA.—The term “outlying  
25 area” has the meaning given the term in section

1 9101 of the Elementary and Secondary Education  
2 Act of 1965 (20 U.S.C. 7801).

3 (8) SECRETARY.—The term “Secretary” means  
4 the Secretary of Education.

5 (9) SPECIALIZED INSTRUCTIONAL SUPPORT  
6 PERSONNEL.—The term “specialized instructional  
7 support personnel” means school counselors, school  
8 social workers, school psychologists, and other quali-  
9 fied professional personnel involved in providing as-  
10 sessment, diagnosis, counseling, educational, thera-  
11 peutic, and other necessary corrective or supportive  
12 services (including related services as that term is  
13 defined in section 602 of the Individuals with Dis-  
14 abilities Education Act (20 U.S.C. 1401)) as part of  
15 a comprehensive program to meet student needs.

16 (10) SPECIALIZED INSTRUCTIONAL SUPPORT  
17 SERVICES.—The term “specialized instructional sup-  
18 port services” means the services provided by spe-  
19 cialized instructional support personnel, and includes  
20 any other corrective or supportive services to meet  
21 student needs.

22 (11) STATE.—The term “State” means each of  
23 the several States of the United States, the District  
24 of Columbia, and the Commonwealth of Puerto Rico.

1 **SEC. 5. DEMONSTRATION PROGRAM AUTHORIZED; ALLOT-**  
2 **MENT TO STATES.**

3 (a) **FORMULA GRANTS AUTHORIZED.**—

4 (1) **IN GENERAL.**—For any fiscal year for  
5 which the amount appropriated under section 13 is  
6 equal to or greater than \$200,000,000, the Sec-  
7 retary is authorized to award grants, from allot-  
8 ments under subsection (c), to States having appli-  
9 cations approved under section 5 to enable the  
10 States to award subgrants to local consortia to lever-  
11 age and integrate human and financial assets at all  
12 levels in order to—

13 (A) ensure the academic, physical, social,  
14 emotional, and civic development of disadvan-  
15 taged youth; and

16 (B) strengthen the families and commu-  
17 nities of the disadvantaged youth and achieve  
18 the results developed pursuant to section  
19 6(e)(1).

20 (2) **DURATION.**—The Secretary shall award a  
21 grant under this subsection for a period of 5 years.

22 (3) **RENEWAL.**—The Secretary may renew a  
23 grant under this subsection for a period of 5 years.

24 (b) **RESERVATION.**—From the funds appropriated  
25 under section 13 for any fiscal year, the Secretary shall  
26 reserve—

1           (1) not more than 2 percent for national activi-  
2 ties, which the Secretary may carry out directly or  
3 through grants and contracts, such as—

4           (A) providing training and training tech-  
5 nical assistance to local consortia and organiza-  
6 tions partnering with local consortia to carry  
7 out services under this Act; or

8           (B) conducting the national evaluation  
9 pursuant to section 12(a)(3); and

10          (2) not more than 1 percent for payments to  
11 the outlying areas and the Bureau of Indian Affairs,  
12 to be allotted in accordance with their respective  
13 needs for assistance under this Act, as determined  
14 by the Secretary, to enable the outlying areas and  
15 the Bureau of Indian Affairs to carry out the pur-  
16 poses of this Act.

17 (c) STATE ALLOTMENTS.—

18          (1) DETERMINATION.—From the funds appro-  
19 priated under section 13 for any fiscal year that are  
20 equal to or greater than \$200,000,000 which remain  
21 after the Secretary makes the reservations under  
22 subsection (b), the Secretary shall allot to each State  
23 for the fiscal year an amount that bears the same  
24 relationship to the remainder as the amount the  
25 State received under subpart 2 of part A of title I

1 of the Elementary and Secondary Education Act of  
2 1965 (20 U.S.C. 6331 et seq.) for the preceding fis-  
3 cal year bears to the amount all States received  
4 under that subpart for the preceding fiscal year, ex-  
5 cept that no State shall receive less than an amount  
6 equal to  $\frac{1}{2}$  of 1 percent of such funds.

7 (2) REALLOTMENT OF UNUSED FUNDS.—If a  
8 State does not receive an allotment under this Act  
9 for a fiscal year, the Secretary shall reallocate the  
10 amount of the State’s allotment to the remaining  
11 States in accordance with this section.

12 (d) COMPETITIVE GRANTS AUTHORIZED.—

13 (1) IN GENERAL.—For any year for which the  
14 amount appropriated under section 13 is less than  
15 \$200,000,000, the Secretary shall award grants, on  
16 a competitive basis, to local consortia to enable the  
17 local consortia to carry out local strategies in ac-  
18 cordance with sections 9 and 10.

19 (2) TARGETED LOCAL CONSORTIA.—The Sec-  
20 retary shall only award a grant to a local consortium  
21 under this subsection if the local consortium submits  
22 an application that proposes—

23 (A) to serve children and youth in schools  
24 or communities with the highest proportions of  
25 students from low-income families; and

1 (B) to provide a comprehensive continuum  
2 of services, including not less than 1 service  
3 from each of not less than 3 categories of serv-  
4 ices described in paragraphs (3) through (11)  
5 of section 10(b), which proposal—

6 (i) shall be submitted by a local con-  
7 sortium comprised of a broad representa-  
8 tion of stakeholders and decisionmakers in  
9 the community, including a multitude of  
10 community partners described in section  
11 4(5)(B); or

12 (ii) shall demonstrate the capacity for  
13 successful implementation through a his-  
14 tory of successful collaboration and effec-  
15 tiveness in strengthening outcomes for chil-  
16 dren and youth.

17 (3) ACCOUNTABILITY AND TRANSPARENCY.—

18 The Secretary shall apply those provisions of section  
19 12 that the Secretary determines applicable to local  
20 consortia receiving funds under this subsection.

21 **SEC. 6. STATE CHILD AND YOUTH STRATEGY.**

22 (a) IN GENERAL.—A State that receives a grant  
23 under this Act shall use the grant funds to develop and  
24 implement a State child and youth strategy (hereafter in  
25 this Act referred to as the “State strategy”).

1 (b) STRATEGY REQUIREMENTS.—The State strat-  
2 egy—

3 (1) shall be developed by the Governor of the  
4 State;

5 (2) shall include the components described in  
6 subsection (c); and

7 (3) may include other components as the Gov-  
8 ernor determines necessary to strengthen results for  
9 children and youth.

10 (c) REQUIRED COMPONENTS.—The State strategy  
11 components required under subsection (b) are the fol-  
12 lowing:

13 (1) STATE RESULTS FRAMEWORK.—The State  
14 strategy shall contain comprehensive, research-based  
15 annual goals and aligned quantifiable indicators  
16 demonstrating continuous improvement with respect  
17 to youth, particularly disadvantaged youth, that  
18 shall serve as targets for each year with respect to  
19 which the State strategy applies. The goals shall in-  
20 clude the following:

21 (A) Children are ready for school.

22 (B) Students are engaged and achieving in  
23 school.

24 (C) Students are physically, mentally, so-  
25 cially, and emotionally healthy.

1 (D) Schools and neighborhoods are safe  
2 and provide a positive climate for learning.

3 (E) Families are supportive and engaged  
4 in their children's education.

5 (F) Graduates are ready for postsecondary  
6 education and 21st century careers.

7 (G) Students are contributing to their  
8 communities.

9 (2) NEEDS AND ASSETS ASSESSMENT.—The  
10 State strategy shall contain an assessment of the  
11 children's needs, and of assets within the State that  
12 can be mobilized, coordinated, and integrated to  
13 achieve the State strategy's goals, which may include  
14 data collected by the Federal Interagency Forum on  
15 Child and Family Statistics.

16 (3) STATE CHILD AND YOUTH PLAN.—The  
17 State strategy shall include a description of the  
18 State's plan to achieve the goals described in para-  
19 graph (1) for young people from birth through the  
20 transition to adulthood, including the following:

21 (A) LEVERAGE AND INTEGRATION.—A de-  
22 scription of how funds received under this Act  
23 will be coordinated and integrated with other  
24 Federal and State funds in order to achieve the  
25 goals developed pursuant to paragraph (1).

1 (B) ELIMINATION OF STATE BARRIERS TO  
2 COORDINATION AND INTEGRATION.—A descrip-  
3 tion of how funds received under this Act will  
4 be used to identify and eliminate State barriers  
5 to the coordination and integration of pro-  
6 grams, initiatives, and funding streams to  
7 achieve the goals developed pursuant to para-  
8 graph (1).

9 (C) COMMUNITY ENGAGEMENT IN EDU-  
10 CATION.—A description of the State’s plan to  
11 increase community engagement in education.

12 (D) FAMILY ENGAGEMENT IN EDU-  
13 CATION.—A description of the State’s plan to  
14 increase family engagement in education.

15 (d) EXISTING PLANS, STRATEGIES, AND ASSESS-  
16 MENTS.—Existing plans, strategies, needs assessments, or  
17 assets assessments may be used to satisfy the require-  
18 ments of this section if such existing plans, strategies,  
19 needs assessments, or assets assessments include the in-  
20 formation required by this section, or can be modified to  
21 do so, and are submitted to the Secretary with such modi-  
22 fications.

23 **SEC. 7. COORDINATING BODY; STATE APPLICATIONS.**

24 (a) COORDINATING BODY.—

1           (1) IN GENERAL.—In order for a State to be el-  
2           igible to receive a grant under this Act, the Gov-  
3           ernor of the State shall designate or establish a co-  
4           ordinating body for student learning and develop-  
5           ment that shall—

6                   (A) administer funds provided under this  
7           Act;

8                   (B) facilitate communication between the  
9           public and the Governor pertaining to issues  
10          impacting children and youth from birth  
11          through the transition to adulthood, including  
12          issues pertaining to service coordination and in-  
13          tegration;

14                  (C) identify and eliminate State barriers to  
15          the coordination and integration of programs,  
16          initiatives, and funding streams, and facilitate  
17          coordination and collaboration among State  
18          agencies serving children and youth;

19                  (D) strengthen the capacity of State and  
20          local organizations to achieve positive outcomes  
21          for children and youth through training, tech-  
22          nical assistance, professional development, and  
23          other means;

24                  (E) assist the Governor in developing and  
25          carrying out the State strategy; and

1 (F) coordinate the submission of the State  
2 application under subsection (b).

3 (2) DESIGNATION OF COORDINATING BODY.—

4 The Governor may designate an existing agency,  
5 Children’s Cabinet, P–20 Council, child and youth  
6 development partnership, or other organization as  
7 the coordinating body for student learning and de-  
8 velopment described in paragraph (1) if the agency,  
9 cabinet, council, partnership, or organization—

10 (A) performs duties similar to the duties  
11 described in paragraph (1); or

12 (B) if the duties of the agency, cabinet,  
13 council, partnership, or organization can be  
14 modified to include the duties described in  
15 paragraph (1).

16 (b) STATE APPLICATION.—

17 (1) IN GENERAL.—Each State desiring a grant  
18 under this Act shall submit to the Secretary an ap-  
19 plication at such time, in such manner, and con-  
20 taining such information as the Secretary may re-  
21 quire.

22 (2) CONTENTS.—Each application submitted  
23 under this subsection shall include the following:

24 (A) STATE STRATEGY.—A description of  
25 how the State will develop the State strategy.

1           (B) GRANTS TO LOCAL CONSORTIA.—A de-  
2           scription of how subgrants to local consortia  
3           will be awarded pursuant to section 8 and how  
4           the subgrants will facilitate community plan-  
5           ning and effective service coordination, integra-  
6           tion, and provision at the local level to achieve  
7           the goals developed by the State pursuant to  
8           section 6(c)(1) within the context of local needs  
9           and priorities.

10           (C) CAPACITY BUILDING.—A description of  
11           how grant funds received under this Act will be  
12           used to build State and local capacity through  
13           training, technical assistance, and professional  
14           development.

15           (D) ACCOUNTABILITY FOR RESULTS.—A  
16           description of the State’s plans to adhere to the  
17           accountability and transparency requirements  
18           described in section 12(b).

19           (3) REVISED APPLICATION.—Each State desir-  
20           ing to renew a grant under this Act shall submit a  
21           revised application to the Secretary every 5 years  
22           based on an assessment of the activities conducted  
23           under this Act.

1 **SEC. 8. STATE USE OF FUNDS.**

2 (a) IN GENERAL.—From the grant funds made avail-  
3 able to a State under this Act for any fiscal year—

4 (1) the State shall use not less than 95 percent  
5 to award subgrants to local consortia under sub-  
6 section (b);

7 (2) the State may use not less than 3 percent  
8 for evaluation and capacity building activities, in-  
9 cluding training, technical assistance, and profes-  
10 sional development; and

11 (3) the State may use not more than 2 percent  
12 for the administrative costs of carrying out respon-  
13 sibilities under this Act.

14 (b) SUBGRANTS TO LOCAL CONSORTIA.—

15 (1) IN GENERAL.—A State that receives a  
16 grant under this Act shall use the portion of the  
17 grant funds described in subsection (a)(1) to award  
18 subgrants to local consortia.

19 (2) PRIORITY.—In awarding subgrants to local  
20 consortia, a State shall give priority to applications  
21 from local consortia that propose—

22 (A) to serve children and youth in schools  
23 or communities with the highest proportions of  
24 students from low-income families; and

25 (B) to provide a comprehensive continuum  
26 of services, including not less than 1 service

1 from each of not less than 3 categories of serv-  
2 ices described in paragraphs (3) through (11)  
3 of section 10(b), which proposal—

4 (i) shall be submitted by local con-  
5 sortia comprised of a broad representation  
6 of stakeholders and decisionmakers in the  
7 community, including a multitude of com-  
8 munity partners described in section  
9 4(5)(B); or

10 (ii) shall demonstrate the capacity for  
11 successful implementation through a his-  
12 tory of successful collaboration and effec-  
13 tiveness in strengthening outcomes for chil-  
14 dren and youth.

15 (3) DURATION OF GRANT.—Each subgrant  
16 awarded under this section shall be for a period of  
17 5 years and shall be renewable based on progress to-  
18 ward achieving the results described in section  
19 9(b)(2)(A).

20 (c) PLANNING GRANTS.—A State that receives a  
21 grant under this Act may award planning grants to local  
22 consortia to enable the local consortia to develop the local  
23 strategy described in section 9(b). Such planning grants  
24 shall be for a duration of—

1           (1) not more than 6 months and in an amount  
2 of not more than \$50,000; or

3           (2) not more than 1 year and in an amount of  
4 not more than \$100,000.

5           (d) SUPPLEMENT, NOT SUPPLANT.—A State that re-  
6 ceives a grant under this Act shall use the grant funds  
7 to supplement, not supplant, Federal and non-Federal  
8 funds available to support child and youth services.

9           (e) ALLOCATION TO RURAL AREAS.—

10           (1) IN GENERAL.—A State that receives grant  
11 funding under this Act for a fiscal year shall use the  
12 grant funds to award an amount, in the aggregate,  
13 of subgrant funding under section 8 to rural local  
14 consortia in the State that is not less than the  
15 amount that bears the same relation to the amount  
16 of the grant funding as the amount received by local  
17 educational agencies serving rural local consortia in  
18 the State under subpart 2 of part A of title I of the  
19 Elementary and Secondary Education Act of 1965  
20 (20 U.S.C. 6331 et seq.) for the preceding fiscal  
21 year bears to the amount received by the State  
22 under such subpart for the preceding fiscal year.

23           (2) RURAL LOCAL CONSORTIUM.—In this sub-  
24 section the term “rural local consortium” means a

1 local consortium serving an area of the State that  
2 has a locale code of 41, 42, or 43.

3 **SEC. 9. LOCAL CONSORTIUM APPLICATION; LOCAL CHILD**  
4 **AND YOUTH STRATEGY.**

5 (a) LOCAL CONSORTIUM APPLICATION.—

6 (1) IN GENERAL.—A local consortium that de-  
7 sires a subgrant under section 8 shall submit an ap-  
8 plication to the State at such time, in such manner,  
9 and containing such information as the State may  
10 require.

11 (2) CONTENTS.—An application submitted  
12 under this section shall include—

13 (A) a description of the local consortium,  
14 including which public or nonprofit entity par-  
15 ticipating in the local consortium shall serve as  
16 the fiscal agent for the local consortium;

17 (B) the local child and youth strategy  
18 (hereafter in this Act referred to as “local  
19 strategy”) described in subsection (b); and

20 (C) a description of how the local strategy  
21 will be coordinated with the local educational  
22 agency plan required under section 1112 of the  
23 Elementary and Secondary Education Act of  
24 1965 (20 U.S.C. 6312).

25 (b) LOCAL STRATEGY.—

1 (1) IN GENERAL.—The local strategy—

2 (A) shall be developed by the local consor-  
3 tium;

4 (B) shall include the components described  
5 in paragraph (2); and

6 (C) may include such other components as  
7 the local consortium determines necessary to  
8 strengthen outcomes for young people from  
9 birth through the transition to adulthood.

10 (2) COMPONENTS.—The local strategy compo-  
11 nents required under paragraph (1)(B) are the fol-  
12 lowing:

13 (A) LOCAL RESULTS FRAMEWORK.—Com-  
14 prehensive, research-based goals and aligned  
15 quantifiable indicators for the goals, with re-  
16 spect to youth, particularly disadvantaged  
17 youth, that shall serve as targets for the year  
18 with respect to which the local strategy applies.

19 The goals shall include the following:

20 (i) Children are ready for school.

21 (ii) Students are engaged and achiev-  
22 ing in school.

23 (iii) Students are physically, mentally,  
24 socially, and emotionally healthy.

1 (iv) Schools and neighborhoods are  
2 safe and provide a positive climate for  
3 learning.

4 (v) Families are supportive and en-  
5 gaged in their children's education.

6 (vi) Students are ready for postsec-  
7 ondary education and 21st Century ca-  
8 reers.

9 (vii) Students are contributing to  
10 their communities.

11 (B) ASSETS ASSESSMENT.—An assessment  
12 of potential resources, services, and opportuni-  
13 ties available within or near the community that  
14 children and youth, their families, and re-  
15 sources in the community may be able to access  
16 in order to meet the needs identified under sub-  
17 paragraph (C), to help achieve the goals and in-  
18 dicators under subparagraph (A), and to sup-  
19 port students to achieve the challenging State  
20 student academic achievement standards, in-  
21 cluding the variety of services that can be inte-  
22 grated—

23 (i) into a community school site; and

24 (ii) through the presence of special-  
25 ized instructional support personnel and

1 local educational agency liaisons for home-  
2 less children and youth designated pursu-  
3 ant to section 722(g)(1)(J)(ii) of the  
4 McKinney-Vento Homeless Assistance Act  
5 (42 U.S.C. 11432(g)(1)(J)(ii)).

6 (C) NEEDS ASSESSMENT.—An analysis of  
7 the comprehensive needs of the students served  
8 by the local consortium, their families, and the  
9 community that—

10 (i) includes input from students and  
11 parents;

12 (ii) assesses the academic, physical,  
13 social, emotional, health, mental health,  
14 and civic needs of students and their fami-  
15 lies; and

16 (iii) may impact students' ability to  
17 meet the challenging State student aca-  
18 demic achievement standards.

19 (D) SERVICE INTEGRATION AND PROVI-  
20 SION.—A plan to coordinate and integrate serv-  
21 ices and provide services in order to meet the  
22 needs identified under subparagraph (C) and  
23 achieve the results and aligned quantifiable in-  
24 dicators described in subparagraph (A), includ-  
25 ing—

1 (i) a description of the services admin-  
2 istered by members of the local consortium  
3 that are funded through grants provided  
4 under the Elementary and Secondary Edu-  
5 cation Act of 1965 (20 U.S.C. 6301 et  
6 seq.) that will be coordinated as part of the  
7 subgrant provided under section 8; and

8 (ii) if applicable, a description of the  
9 coordination among services provided by  
10 community-based organizations and serv-  
11 ices provided by specialized instructional  
12 support personnel employed by the school  
13 or the local educational agency partici-  
14 pating in the local consortium.

15 (E) COMMUNITY ENGAGEMENT IN EDU-  
16 CATION.—A plan to increase community en-  
17 gagement in education.

18 (F) FAMILY ENGAGEMENT IN EDU-  
19 CATION.—A plan to increase family engagement  
20 in education.

21 (3) EXISTING PLANS, STRATEGIES, AND AS-  
22 SEMENTS.—Existing plans, strategies, needs as-  
23 sements, or assets assessments may be used to  
24 satisfy the requirements of this section if such exist-  
25 ing plans, strategies, needs assessments, or assets

1 assessments include the information required by this  
2 section, or can be modified to do so, and are sub-  
3 mitted to the Secretary with such modifications.

4 **SEC. 10. LOCAL USE OF FUNDS.**

5 (a) MANDATORY USE OF FUNDS.—A local consor-  
6 tium that receives a subgrant under section 8 shall use  
7 the subgrant funds—

8 (1) to integrate multiple private and public  
9 services into a comprehensive, coordinated con-  
10 tinuum that meets the holistic needs of young peo-  
11 ple;

12 (2) to implement the comprehensive, coordi-  
13 nated continuum of services described in paragraph  
14 (1) through research-based services producing quan-  
15 tifiable results that align with the local results  
16 framework described in section 9(b)(2)(A);

17 (3) to address the needs identified in the needs  
18 assessment carried out pursuant to section  
19 9(b)(2)(C) by leveraging the assets identified in the  
20 assets assessment carried out pursuant to section  
21 9(b)(2)(B); and

22 (4) if applicable, to coordinate efforts with the  
23 specialized instructional support personnel employed  
24 by the school or the local educational agency partici-  
25 pating in the local consortium.

1 (b) PERMISSIBLE USE OF FUNDS.—A local consor-  
2 tium that receives a subgrant under section 8 may use  
3 the subgrant funds to coordinate, integrate, and enhance  
4 existing services, and provide new services, in order to pro-  
5 vide young people with research-based, comprehensive  
6 services at, or that are connected to, schools, including—

7 (1) community-based, integrated student serv-  
8 ices;

9 (2) full-service community schools;

10 (3) high-quality early childhood development,  
11 including—

12 (A) early childhood education;

13 (B) programs under the Head Start Act  
14 (42 U.S.C. 9831 et seq.), including Early Head  
15 Start programs;

16 (C) early reading first programs;

17 (D) child care services;

18 (E) early childhood-school transition serv-  
19 ices;

20 (F) home visiting;

21 (G) parenting education; and

22 (H) services for young children with spe-  
23 cial needs;

24 (4) academic support services, including—

25 (A) tutoring;

1 (B) extended day programs, including serv-  
2 ices provided through 21st Century Community  
3 Learning Centers under part B of title IV of  
4 the Elementary and Secondary Education Act  
5 of 1965 (20 U.S.C. 7171 et seq.);

6 (C) programs for students and parents to  
7 learn together, including opportunities in such  
8 fields as technology, art, music, and language  
9 acquisition;

10 (D) multiple pathways toward attaining a  
11 high school diploma and preparing students for  
12 college, including—

13 (i) dual enrollment programs;

14 (ii) early college high schools;

15 (iii) strategies for preventing at-risk  
16 youth from dropping out of high school;

17 (iv) dropout recovery strategies, in-  
18 cluding strategies that award credit based  
19 on student performance instead of instruc-  
20 tional time; and

21 (v) other activities that combine rig-  
22 orous coursework, personalized learning  
23 environments, practical applications, and  
24 comprehensive support services;

- 1 (E) summer enrichment and learning expe-  
2 riences; and
- 3 (F) services for students with disabilities;
- 4 (5) health services, including—
- 5 (A) primary health care;
- 6 (B) dental care;
- 7 (C) vision care;
- 8 (D) hearing care;
- 9 (E) mental health services;
- 10 (F) nutrition services;
- 11 (G) health education; and
- 12 (H) developmental and habilitation services  
13 for young people with special needs;
- 14 (6) youth development, including—
- 15 (A) mentoring and other youth develop-  
16 ment programs, including programs that engage  
17 older adults;
- 18 (B) recreation and physical education;
- 19 (C) service learning, civic education, lead-  
20 ership development, entrepreneurship, and com-  
21 munity service opportunities;
- 22 (D) job training, career counseling, and in-  
23 ternship opportunities;
- 24 (E) career and technical education;

1 (F) college preparation and counseling  
2 services; and

3 (G) positive behavioral interventions and  
4 supports;

5 (7) social services for students and families, in-  
6 cluding—

7 (A) family support programs, including  
8 housing assistance, counseling, financial edu-  
9 cation, crisis intervention, and related services;

10 (B) programs that provide assistance to  
11 students who have been truant, suspended, or  
12 expelled;

13 (C) programs or efforts intended to iden-  
14 tify young people without a high school diploma  
15 and reengage the young people in school so that  
16 the young people may attain a high school di-  
17 ploma; and

18 (D) strategies that engage older adults as  
19 resources to students and families;

20 (8) parent and adult education programs, in-  
21 cluding—

22 (A) programs that promote family literacy,  
23 including Even Start;

24 (B) parent and caregiver leadership and  
25 parent and caregiver education activities;

1 (C) adult education, including instruction  
2 in English as a second language, and job train-  
3 ing; and

4 (D) citizenship preparation for individuals  
5 choosing to become United States citizens;

6 (9) juvenile crime prevention and rehabilitation  
7 programs, including—

8 (A) youth courts, teen courts, peer juries,  
9 and drug courts; and

10 (B) tribal youth programs;

11 (10) specialized instructional support services,  
12 including specialized instructional support personnel;

13 (11) service coordination staffing that ensures  
14 young people receive comprehensive services to meet  
15 the holistic needs of the young people;

16 (12) training, technical assistance, and profes-  
17 sional development for school-based and community-  
18 based personnel providing comprehensive services to  
19 children and youth;

20 (13) subgrants to nonprofit and other organiza-  
21 tions to implement the requirements and allowable  
22 services under this section;

23 (14) reasonable program administration and  
24 planning associated with the activities required  
25 under this section; and

1 (15) other services consistent with this section.

2 **SEC. 11. CONSTRUCTION.**

3 Nothing in this Act shall be construed to alter or oth-  
 4 erwise affect the rights, remedies, and procedures afforded  
 5 school or school district employees under Federal, State,  
 6 or local laws (including applicable regulations or court or-  
 7 ders) or under the terms of collective bargaining agree-  
 8 ments, memoranda of understanding, or other agreements  
 9 between such employees and their employers.

10 **SEC. 12. ACCOUNTABILITY AND TRANSPARENCY.**

11 (a) FEDERAL ACCOUNTABILITY AND TRANS-  
 12 PARENCY.—

13 (1) ANNUAL REPORT.—On an annual basis, the  
 14 Secretary shall report to the public, Congress, and  
 15 the President—

16 (A) the collective progress made by—

17 (i) States in achieving the goals estab-  
 18 lished within the State results frameworks  
 19 described in section 6(c)(1); and

20 (ii) communities in achieving the goals  
 21 established within the local results frame-  
 22 works pursuant to section 9(b)(2)(A);

23 (B) how funds under this Act were used by  
 24 States and local consortia to improve the lives  
 25 of children, youth, and families, including—

1 (i) the characteristics of the young  
2 people and families served by the activities  
3 and services assisted under this Act;

4 (ii) the services and supports provided  
5 under this Act; and

6 (iii) outcomes resulting from the ac-  
7 tivities and services funded under this Act;

8 (C) actions taken pursuant to paragraph  
9 (2) regarding misuse or ineffective use of funds;  
10 and

11 (D) other information the Secretary deter-  
12 mines to be of interest to the public.

13 (2) CORRECTION OF DEFICIENCIES.—If the  
14 Secretary determines, based on a review of State an-  
15 nual reports, State strategies, State data submis-  
16 sions, evaluations, or other documentation, that a  
17 State or entity that receives funds through a grant  
18 or contract made under this Act makes insufficient  
19 progress toward achieving the goals established with-  
20 in the State results framework pursuant to section  
21 6(c)(1) within 3 years of receiving a grant under  
22 section 5(a), or is misusing, ineffectively using, or  
23 otherwise not complying with the requirements of  
24 this Act, the Secretary shall—

1 (A) notify the State of the deficiencies that  
2 require correction and request that the State  
3 submit a plan to correct the deficiencies;

4 (B) negotiate a plan to correct the defi-  
5 ciencies, and provide appropriate training or  
6 technical assistance designed to assist the State  
7 in complying with the requirements of this Act;  
8 and

9 (C) in the case that the State fails to sub-  
10 mit or negotiate a plan to correct the defi-  
11 ciencies or fails to make substantial efforts,  
12 within 6 months after the date of the notifica-  
13 tion described in paragraph (1), to correct the  
14 deficiencies and comply with the requirements  
15 of this Act—

16 (i) terminate the provision of funds  
17 under this Act to the State or entity for  
18 the remainder of the period of the grant or  
19 contract; and

20 (ii) redistribute the terminated fund-  
21 ing in the manner described in section  
22 5(c).

23 (3) INDEPENDENT ONGOING EVALUATION.—

24 (A) IN GENERAL.—The Secretary shall  
25 carry out an ongoing evaluation of the activities

1 conducted under this Act and shall submit the  
2 evaluation results to Congress and the public in  
3 July of 2014 and in July of 2016.

4 (B) RIGOROUS AND INDEPENDENT EVAL-  
5 UATION.—The Secretary shall enter into a con-  
6 tract with an entity independent of the Depart-  
7 ment of Education to carry out the evaluation  
8 required under this paragraph. To the extent  
9 the Secretary determines feasible, the evalua-  
10 tion shall include large-scale, longitudinal, ran-  
11 domized studies to identify the most effective  
12 combinations of academic and nonacademic  
13 interventions, including interventions adminis-  
14 tered by community-based organizations, to  
15 achieve improvements in academic and other  
16 outcomes for students.

17 (C) EVALUATION OUTCOMES.—

18 (i) IN GENERAL.—The evaluation re-  
19 quired under this paragraph shall measure  
20 the process of developing and imple-  
21 menting effective partnerships among  
22 schools, school districts, families, students,  
23 and community partners, as well as the im-  
24 pact of activities conducted under this Act,

1 which may include impacts on the fol-  
2 lowing outcomes:

3 (I) Student achievement as meas-  
4 ured by assessment data, classroom  
5 grades, and other means of measuring  
6 student performance.

7 (II) Graduation rates.

8 (III) School readiness.

9 (IV) Numbers of detentions, sus-  
10 pensions, and expulsions.

11 (V) Enrollment in postsecondary  
12 education.

13 (VI) The degree of communica-  
14 tion between schools and families.

15 (VII) The degree of parental par-  
16 ticipation in school activities.

17 (VIII) Student health, including  
18 mental health and risk factors at  
19 birth.

20 (IX) Student civic participation.

21 (X) Attendance.

22 (XI) The number of students and  
23 families receiving services.

24 (XII) Other outcome areas as de-  
25 termined by the Secretary in consulta-

1                   tion with State educational agencies,  
2                   local educational agencies, teacher or-  
3                   ganizations, secondary students, and  
4                   nonprofit organizations providing  
5                   services to children and youth.

6                   (ii) DISAGGREGATION.—The outcomes  
7                   described in clause (i) shall be  
8                   disaggregated by gender, race, and family  
9                   income.

10               (b) STATE ACCOUNTABILITY AND TRANSPARENCY.—

11                   (1) ANNUAL REPORT.—On an annual basis,  
12                   each State shall report to the public and the Sec-  
13                   retary such information as the Secretary may rea-  
14                   sonably require, including—

15                   (A) progress made toward achieving—

16                   (i) the goals established within the  
17                   State results framework pursuant to sec-  
18                   tion 6(c)(1) disaggregated in the same  
19                   manner as information is disaggregated  
20                   under subsection (a)(3)(C)(ii); and

21                   (ii) the goals established within the  
22                   local results frameworks pursuant to sec-  
23                   tion 9(b)(2)(A);

1 (B) how funds under this Act were used by  
2 States and local consortia to improve the lives  
3 of children, youth, and families, including—

4 (i) the characteristics of the young  
5 people and families served by the activities  
6 and services assisted under this Act;

7 (ii) the services and supports provided  
8 under this Act; and

9 (iii) outcomes resulting from the ac-  
10 tivities and services funded under this Act;

11 (C) information on Federal barriers to ef-  
12 fective State and local coordination;

13 (D) the extent of coordination between  
14 State departments and agencies providing youth  
15 services in place to achieve the goals within the  
16 State results framework pursuant to section  
17 6(e)(1);

18 (E) the extent to which the objectives and  
19 budgets of State departments and agencies pro-  
20 viding child and youth services were consistent  
21 with the recommendations of the State strategy  
22 for the preceding year;

23 (F) the efficiency and adequacy of State  
24 and local programs and policies with respect to  
25 child and youth services;

1 (G) actions taken pursuant to paragraph  
2 (2) regarding misuse or ineffective use of funds;  
3 and

4 (H) other information the State determines  
5 to be of interest to the public.

6 (2) CORRECTION OF DEFICIENCIES.—If the  
7 State determines, based on a review of reports, data  
8 submissions, evaluations, or other documentation,  
9 that a local consortium or organization that receives  
10 funds through a subgrant made under this Act  
11 makes insufficient progress toward achieving the  
12 goals established within the local results framework  
13 pursuant to section 9(b)(2)(A) within 3 years of re-  
14 ceiving a subgrant under section 8, or is misusing,  
15 ineffectively using, or otherwise not complying with  
16 the requirements of this Act, the State shall—

17 (A) notify the local consortium of the defi-  
18 ciencies that require correction and request that  
19 the consortium submit a plan to correct the de-  
20 ficiencies;

21 (B) negotiate a plan to correct the defi-  
22 ciencies, and provide appropriate training or  
23 technical assistance designed to assist the local  
24 consortium in complying with the requirements  
25 of this Act; and

1           (C) in the case that the local consortium  
2 fails to submit or negotiate a plan to correct  
3 the deficiencies or fails to make substantial ef-  
4 forts, within 6 months after the date of the no-  
5 tification described in subparagraph (A), to cor-  
6 rect the deficiencies and comply with the re-  
7 quirements of this Act, terminate the provision  
8 of funds under this Act to the local consortium  
9 or organization for the remainder of the period  
10 of the subgrant and redistribute the terminated  
11 funding in a manner determined by the State to  
12 be in the best interests of the children and  
13 youth in such State in accordance with this Act.

14       (c) LOCAL ACCOUNTABILITY AND TRANSPARENCY.—  
15 On an annual basis, each local consortium shall report to  
16 the public and the State such information as the State  
17 may reasonably require, including—

18           (1) progress made toward achieving the goals  
19 established within the local results framework pursu-  
20 ant to section 9(b)(2)(A) disaggregated in the same  
21 manner as information is disaggregated under sub-  
22 section (a)(3)(C)(ii);

23           (2) how funds under this Act were used by the  
24 local consortium and subgrant recipients to improve  
25 the lives of children, youth, and families, including—

1 (A) the characteristics of the young people  
2 and families served by the activities and serv-  
3 ices assisted under this Act;

4 (B) the services and supports provided  
5 under this Act; and

6 (C) outcomes resulting from the activities  
7 and services funded under this Act;

8 (3) information on State barriers to effective  
9 local coordination;

10 (4) the extent of coordination between local  
11 agencies and organizations providing services to  
12 achieve the goals within the local results framework  
13 pursuant to section 9(b)(2)(A); and

14 (5) other information the local consortium de-  
15 termines to be of interest to the public.

16 **SEC. 13. AUTHORIZATION OF APPROPRIATIONS.**

17 There are authorized to be appropriated to carry out  
18 this Act \$2,500,000,000 for each of fiscal years 2012,  
19 2013, 2014, 2015, and 2016.

○